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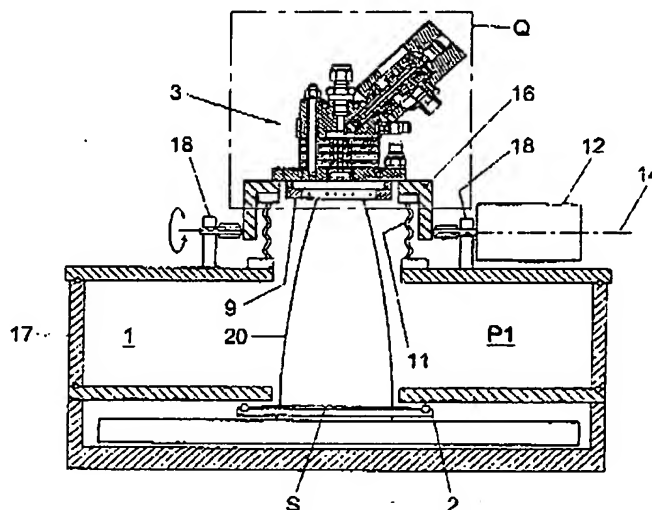
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(54) Title: METHOD AND APPARATUS FOR TREATING A SUBSTRATE



(57) Abstract: A method for treating a surface of at least one substrate, wherein the at least one substrate is placed in a process chamber; wherein the pressure in the process chamber is relatively low, wherein a plasma is generated by at least one plasma source, wherein, during the treatment, at least one plasma source (3) and/or at least one optionally provided treatment fluid supply source is moved relative to the substrate surface. The invention further provides an apparatus for treating a surface of at least one substrate, wherein the apparatus is provided with a process chamber and at least one plasma source, wherein the at least one plasma source (3) and/or at least one optionally provided treatment fluid supply source is movably arranged.

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Title: Method, and apparatus for treating a surface of at least one substrate

The invention relates to a method for treating a surface of at least one substrate, wherein the at least one substrate is placed in a process chamber, wherein a plasma is generated by at least one plasma source, the at least one plasma source being a cascade source, wherein at least one cathode of the cascade source is present in a prechamber in which, during use, a relatively high pressure prevails compared to a relatively low pressure prevailing in the process chamber, wherein, via a relatively narrow channel bounded by mutually electrically insulated cascade plates, the prechamber opens into the process chamber, such that, during use, the plasma extends via the relatively narrow channel into the process chamber.

This method is known from the European patent EP-0-295-752. In the known method, the plasma source is mounted on the process chamber so that the plasma is generated substantially outside the process chamber. As a result of the low pressure in the process chamber, a part of the plasma can expand from the plasma source to the process chamber via a passage between the source and chamber to contact the substrate surface. Because the plasma is generated by a plasma source mounted on the process chamber, it has surprisingly been found that a relatively high treatment rate, such as a high deposition rate with PEVCD, can be obtained. This is in contrast with, for instance, a conventional plasma reactor in which the plasma source is located in the process chamber and the substrate to be treated is placed between electrodes of the plasma source, which results in an undesirably low treatment rate.

The known method can be used for different purposes. For instance, using this method, a layer of material can be deposited on the substrate

surface of the at least one substrate, in particular by means of Plasma Enhanced Chemical Vapor Deposition (PECVD). In that case, usually, a mixture of treatment gases is led into the plasma to fall apart into reactive fragments. These fragments can react with each other and/or with the substrate surface for the purpose of deposition of the layer. Further, the known method can, conversely, be used to remove material from the substrate surface by means of plasma etching, also called dry etching. In that case, the composition of the plasma usually has an etching effect on the substrate surface. The relatively low pressure in the process chamber is usually subatmospheric, for instance less than 5000 Pa, in particular less than 500 Pa.

A disadvantage of the method according to the opening paragraph hereof is that the control over the uniformity of the treatment leaves much to be desired. As a result, parts of the substrate surface may, for instance, undergo too much or too little treatment, so that, in the case of PECVD and plasma etching respectively, an undesirably thick or thin layer of material is deposited thereon and removed therefrom, respectively, compared to other parts of the substrate surface.

An object of the invention is to obviate these disadvantages of the method referred to in the opening paragraph, in particular to provide a method by means of which the uniformity of the treatment can be very well controlled.

For this purpose, the method according to the invention is characterized in that, during the treatment, at least one plasma source and/or at least one optionally provided treatment fluid supply source is moved relative to the substrate surface.

In this manner, the treatment and particularly its uniformity can be controlled very accurately. The movement allows the amount of plasma reaching a part of the substrate surface to be adjusted as desired. Thus, the at least one plasma source and/or treatment fluid supply source can be

5 moved such that each part of the substrate surface undergoes substantially the same extent of treatment, particularly because each part of this surface is reached by the same amount of plasma. In this manner, for instance, a very good uniformity of the treatment can be obtained, so that a layer of material can be deposited very uniformly on the substrate surface by PECVD or etched from it by dry etching using the plasma. On the other hand, it may be desired to have a non-uniform treatment take place, for instance when a part of the substrate surface is to obtain or lose considerably more or less material. In that case, the plasma source and/or the treatment fluid supply source can be moved relative to the surface such that at least a first part of the substrate surface undergoes a substantially greater extent of treatment than a second part of that surface, in particular in that the first surface part is reached by a larger amount of plasma than the second surface part.

15 The plasma source and/or the treatment fluid supply source can be moved in various manners. For instance, the plasma source and/or the treatment fluid supply source can be rotated about at least one rotation axis, which axis extends substantially parallel to the substrate surface. Further, the plasma source and/or treatment fluid supply source can be moved in a direction towards or away from the substrate surface. In addition, the plasma source and/or the treatment fluid supply source can be moved in at least one lateral direction relative to the substrate surface. Further, the plasma source and/or treatment fluid supply source can be rotated about an axis extending perpendicularly relative to the substrate surface. Such a movement specifically has effect when the source does not generate rotationally symmetric plasma. During the treatment, the plasma source and/or the treatment fluid supply source can, for instance, carry out one or more three-dimensional movements, for instance to treat three-dimensional surfaces. Such a three-dimensional movement can comprise different translation movements, in different directions. In

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addition, such a three-dimensional movement can comprise, for instance, one or more rotations, about different rotation axes. During the treatment, the plasma source and/or treatment fluid supply source can, for instance, be moved along at least a part of an outside of a substrate to be treated, for the purpose of treating this outside. The plasma source and/or treatment fluid supply source can, for instance, be moved around at least a part of a substrate. In addition, during the treatment, the plasma source and/or treatment fluid supply source can, for instance, be moved along at least a part of an inside of the substrate to be treated, to treat at least a part of this inside. Each plasma source can carry out a combination of these movements. When the method is carried out using several plasma sources, a number or each of these sources can carry out, for instance, at least one of the above manners of movement.

In the case that a treatment fluid is added to the plasma, in particular for the purpose of PECVD, it is advantageous if the amount of treatment fluid to be added to the plasma is related to the movement of the at least one plasma source.

For instance, the effect of the movement of the at least one plasma source can be enhanced or reduced by adding more or less treatment fluid to the plasma before, during and/or after this movement. Further, in this manner, the amount of treatment fluid can be accurately adjusted to movements of the plasma source to very precisely control treatment of the substrate surface. It is, for instance, possible that plasma from the plasma source needs to cover a smaller distance to the substrate surface when the source is in a first position compared to the distance when, after a certain movement, the source is in a second position. As a result, it is possible that less plasma reaches the substrate surface when the source is in the second position, which can result in loss of effectiveness of a treatment fluid included in the plasma. In that case, more treatment fluid can be added to

the plasma when the source is in the second position to compensate for this loss of effectiveness.

According to a further elaboration of the invention, a treatment fluid can be supplied into the prechamber of the cascade source, near the cascade
5 source cathode present in this prechamber.

In this manner, the treatment fluid can be supplied to the plasma in the prechamber using means which are relatively simple and inexpensive to design.

According to an advantageous elaboration of the invention, between
10 the at least one plasma source and the substrate surface, at least one said treatment fluid supply source is arranged to add the treatment fluid to the plasma. In the field, such a treatment fluid supply source is also called a showerhead.

By means of a showerhead, the treatment fluid can be added to the
15 plasma in an easy and proportionally distributed manner. Preferably, during the treatment, the at least one showerhead is moved relative to the substrate surface, with the movement of the showerhead being related to the movement of the at least one plasma source so that the aforementioned advantageous effects of the movement of the plasma source can be
20 optimized, at least are not undone by the presence of the showerhead. For this purpose, the showerhead can, for instance, be coupled to the plasma source.

The plasma source can, for instance, be mounted on the process chamber. Surprisingly, this can yield a relatively high treatment rate, such
25 as a high deposition rate in PECVD. In addition, this plasma source can, for instance, be arranged in the process chamber and/or be movable through at least a part of the process chamber.

According to a further elaboration of the invention, the substrate is provided with at least one cavity at least partly bounded by the substrate
30 surface, while, during treatment, at least a part of the plasma source and/or

at least the treatment fluid supply source is and/or has been introduced into this substrate cavity.

In this manner, for instance, an inner surface of a substrate can be properly reached by the plasma source and/or the treatment fluid supply source for the purpose of a desired treatment of this inner surface. The substrate cavity can, for instance, comprise a substantially closed cavity, a closable cavity or a cavity accessible from the surroundings.

The invention further relates to an apparatus for treating a surface of at least one substrate, the apparatus being provided with a process chamber and at least one plasma source, the at least one plasma source being a cascade source, wherein at least one cathode of the cascade source is present in a prechamber in which, during use, a relatively high pressure prevails compared to a relatively low pressure prevailing in the process chamber, wherein, via a relatively narrow channel bounded by mutually electrically insulated cascade plates, the prechamber opens into the process chamber, such that, during use, the plasma extends via the relatively narrow channel into the process chamber.

Such an apparatus is also known from the European patent EP-0-295-752. A disadvantage of this apparatus is that it does not provide sufficient control of the uniformity of a treatment on the substrate surface to be carried out using the apparatus.

According to the present invention, this disadvantage is obviated in that the at least one plasma source and/or at least one optionally provided treatment fluid supply source is movably arranged.

Using the movably arranged plasma source and/or treatment fluid supply source, plasma generated by it can reach different parts of the substrate surface for treatment of this surface, which provides a very high degree of control of the treatment to be carried out.

The invention further provides a substrate provided with a surface with at least one layer of material deposited on it, characterized in that the

layer has been deposited using a method according to any one of claims 1-15 and/or using an apparatus according to any one of claims 16-30.

The layer of this substrate has been deposited on the substrate surface in a very well controlled manner with a view to a particular desired
5 uniformity. Thus, the properties of this layer are unique compared to layers of substrates deposited by conventional PECVD techniques. The layer can, for instance, have a very uniform thickness or, conversely, a thickness which varies in a particular manner. The substrate surface to be treated can
10 comprise different surfaces, for instance a substantially one-dimensional, two-dimensional or three-dimensional surface.

The invention will be further elucidated with reference to an exemplary embodiment and the drawing, in which:

Fig. 1 shows a top plan view of an exemplary embodiment;

Fig. 2 shows a cross-sectional view along line II-II of the top plan
15 view shown in Fig. 1;

Fig. 3 shows detail Q of the cross-sectional view shown in Fig. 2.

Figs. 1-3 show an apparatus for treating a surface of a substrate S. The apparatus is particularly arranged for carrying out PECVD. The
20 apparatus is provided with a process chamber 1 and a substrate holder 2 arranged there, on which the substrate S to be treated is placed. On the process chamber 1 and opposite the substrate surface to be treated, a plasma source 3 is mounted.

As Fig. 3 shows, the plasma source is a cascade source 3. The source 3
25 is provided with a cathode 4 located in a prechamber 6 and an anode 5 located on a side of the source 3 facing the process chamber 1. Via a relatively narrow channel 7, the prechamber 6 opens into the process chamber 1. The channel 7 is bounded by mutually electrically insulated cascade plates 8 and the anode 5. During use, the process chamber 1 is
30 maintained at a relatively low pressure, in particular lower than 5000 Pa, and preferably lower than 500 Pa. Between the cathode 4 and anode 5, a

plasma is generated, for instance by ignition of an inert gas, or fluid otherwise suitable for this, present between them. When the plasma has been generated in the source 3, the pressure P2 in the prechamber 6 is higher than the pressure in the process chamber 1. The pressure P2 can, for instance, be substantially atmospheric and be in the range of 0.5-1.5 bar. Because the pressure in the process chamber 1 is considerably lower than the pressure in the prechamber 6, a part 20 of the generated plasma expands such that it extends, via the relatively narrow channel 7, into the process chamber 1 to contact the substrate surface.

10 The plasma source 3 is movably arranged relative to the substrate surface. For this purpose, the plasma source 3 is mounted on an upper housing part 16 of the apparatus. The upper housing part 16 is coupled to a lower housing part 17 by means of a flexible, substantially gastight sealing. In the present exemplary embodiment, the sealing is designed as a
15 cylindrical resilient body 11. In addition, the resilient body 11 is arranged to exert such a spring force on the at least one plasma source 3, at least via the upper housing part 16, that, under the influence of that spring force, each time, the plasma source 3 can move to the starting position shown in the Figures when the plasma source 3 has been brought to another position. In
20 the present exemplary embodiment, the resilient body 11 is formed by a thin-walled stainless-steel bellows.

For the purpose of moving the plasma source 3, the upper housing part 16 is coupled to the lower housing part 17 so as to be rotatable about two different axes 14, 15. For this purpose, the upper housing part 16 is
25 connected to a bearing ring 19 so as to be rotatable about a rotation axis 15, while this bearing ring 19 is connected, so as to be rotatable about a rotation axis 14, to supports 18 attached on the lower housing part 17. The apparatus is provided with a first motor 12 and a second motor 13 to move the upper housing part 16 with the source 3 to a desired position. Since the

two rotation axes 14 and 15 extend perpendicularly to each other, the plasma source 3 can be moved to very many different positions.

As Figs. 2 and 3 show, the process chamber 1 is provided with a showerhead 9. The showerhead is arranged to add the treatment fluid to the plasma 20 extending via the relatively narrow channel 7 into the process chamber 1, in particular for carrying out PECVD. The showerhead 9 is provided with at least one plasma passage 21 through which the plasma 20 can extend. The showerhead can, for instance, comprise an annular pipe provided with a number of outflow openings 22 through which the treatment fluid can be introduced into the plasma 20. The showerhead 9 is coupled to the plasma source 3, at least via the upper housing part 16, so that the showerhead 9 can follow movements of the plasma source 3.

During use of this apparatus, the plasma source 3 can be moved to obtain a very good control of a plasma treatment of the substrate surface. This is particularly advantageous since this allows, for instance, material to be deposited on the substrate S with a very uniform layer thickness. The upper housing part with the source 3 can, for instance, be moved such that parts of the substrate surface which receive too little plasma, for instance near the edge of the substrate, receive an extra amount of plasma to still undergo sufficient treatment. On the other hand, material can, conversely, be dry etched from the substrate surface in a very uniform manner. In addition, by movement of the source 3, it can be achieved that certain parts of the substrate surface undergo a considerably greater extent of treatment than other parts. For instance, substantially a half of the substrate surface can be treated by a rotation of the source about one of the two rotation axes 14, 15 shown while the other half of the surface remains substantially untreated.

It is self-evident that the invention is not limited to the exemplary embodiment described. Various modifications are possible within the scope of the invention.

For instance, the wording that the at least one source "is mounted on the process chamber" should be interpreted broadly, and can, for instance, include the meaning that the source is mounted on top of, next to, near, under the process chamber or otherwise with respect to the process chamber, but at least such that at least a part of a plasma generated by this

5 source can reach a surface of a substrate S arranged in the process chamber.

Further, the process chamber can be arranged to place one or more than one substrate in it.

A substrate to be treated may, for instance, comprise a semiconductor

10 wafer, a compact or DVD disk such as for storage of music, video, computer data, a solar cell substrate, a display substrate, a reflector, a window, a car window, a synthetic or metal substrate to be coated, a housing, a lamp housing, a catalyst substrate or the like. The substrate may have a disc-shaped, circular, angular or other design. The substrate can, for

15 instance, comprise a three-dimensional object. The surface of the substrate to be treated can, for instance, comprise an inside and/or an outside of the substrate. Further, the substrate surface to be treated can, for instance, comprise a substantially one-dimensional, two-dimensional or three-dimensional surface, an at least partly concave surface, an at least partly

20 convex surface, and/or a combination of similar or differently shaped surfaces.

The material to be deposited by PECVD may comprise various materials, an enumeration of which is outside the scope of this text, but a reference to the elements of the periodic table and possible atomic and/or

25 molecular combinations of these elements gives a fair impression of what can be deposited. The invention is not limited to a movable source but also comprises a fixedly arranged source with a movable treatment fluid supply source. The invention also comprises a method and apparatus provided with multiple movable sources and/or movable treatment fluid supply sources.

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Further, the substrate S to be treated and/or the substrate holder 2 can, for instance, also be movably arranged, to be moved during a substrate treatment.

Further, the plasma source and/or the treatment fluid supply source
5 can be moved continuously, at certain moments, iteratively, intermittently, periodically or the like. The movement of the plasma source and/or the treatment fluid supply source can, for instance, be started after the substrate has been placed in a certain treatment position in the process chamber.

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CLAIMS

1. A method for treating a surface of at least one substrate, wherein the at least one substrate is placed in a process chamber, wherein a plasma is generated by at least one plasma source, the at least one plasma source being a cascade source (9), wherein at least one cathode (4) of the cascade source (3) is present in a prechamber (6) in which, during use, a relatively high pressure (P2) prevails compared to a relatively low pressure (P1) prevailing in the process chamber (1), wherein, via a relatively narrow channel (7) bounded by mutually electrically insulated cascade plates (8), the prechamber (6) opens into the process chamber (1), such that, during use, the plasma extends via the relatively narrow channel (7) into the process chamber (1), characterized in that, during the treatment, at least one plasma source (3) and/or at least one optionally provided treatment fluid supply source is moved relative to the substrate surface.
2. A method according to claim 1, characterized in that the plasma source (3) and/or the optional treatment fluid supply source is rotated about at least one rotation axis (14, 15), which axis (14, 15) extends substantially parallel to the substrate surface.
3. A method according to claim 1 or 2, characterized in that the plasma source (3) and/or the optional treatment fluid supply source is moved in a direction towards the substrate surface or away from it.
4. A method according to any one of the preceding claims, characterized in that the plasma source (3) and/or the optional treatment fluid supply source is moved in at least one lateral direction relative to the substrate surface.
5. A method according to any one of the preceding claims, characterized in that the plasma source (3) and/or the optional treatment fluid supply

source is rotated about an axis extending perpendicularly relative to the substrate surface.

6. A method according to any one of the preceding claims, characterized in that a treatment fluid is added to the plasma, in particular for the purpose of PECVD.

7. A method according to claim 6, characterized in that the amount of treatment fluid to be added to the plasma is related to the movement of the at least one plasma source (3).

8. A method according to claim 6 or 7, characterized in that a treatment fluid is supplied into a prechamber (6) of the cascade source (3), near a cascade source cathode (4) present in this prechamber (6).

9. A method according to at least claim 6 or 7, characterized in that, between the at least one plasma source (3) and the substrate surface, at least one treatment fluid supply source (9) is arranged to add the treatment fluid to the plasma.

10. A method according to claim 9, characterized in that, during the treatment, the at least one treatment fluid supply source (9) is moved relative to the substrate surface, wherein the movement of the treatment fluid supply source (9) is related to the movement of the at least one plasma source (3).

11. A method according to any one of the preceding claims, characterized in that the at least one plasma source (3) is moved such that each part of the substrate surface undergoes substantially the same extent of treatment, in particular in that each part of this surface is reached by the same amount of plasma.

12. A method according to any one of claims 1-10, characterized in that the at least one plasma source (3) is moved such that at least a first part of the substrate surface undergoes substantially a greater extent of treatment than a second part of this surface, in particular in that the first surface part is reached by a larger amount of plasma than the second surface part.

13. A method according to any one of the preceding claims, wherein said plasma source (3) is mounted on the process chamber.
14. A method according to any one of claims 1-12, wherein said substrate is provided with at least one cavity at least partly bounded by said substrate surface, wherein, during treatment, at least a part of said plasma source and/or at least said treatment fluid supply source is and/or has been introduced into said substrate cavity.
15. A method according to any one of the preceding claims, wherein, during the treatment, said plasma source (9) and/or treatment fluid supply source carries out at least one three-dimensional movement.
16. An apparatus for treating a surface of at least one substrate, wherein the apparatus is provided with a process chamber and at least one plasma source, the at least one plasma source being a cascade source (3), wherein at least one cathode (4) of the cascade source (3) is present in a prechamber (6) in which, during use, a relatively high pressure (P2) prevails compared to a relatively low pressure (P1) prevailing in the process chamber (1), wherein, via a relatively narrow channel (7) bounded by mutually electrically insulated cascade plates (8), the prechamber (6) opens into the process chamber (1), such that, during use, the plasma extends via the relatively narrow channel (7) into the process chamber (1), characterized in that the at least one plasma source (3) and/or at least one optionally provided treatment fluid supply source is movably arranged.
17. An apparatus according to claim 16, characterized in that the apparatus is provided with resilient means (11) arranged to exert such a spring force on the at least one plasma source (3) that, under the influence of this spring force, the plasma source (3) can move to a starting position when the plasma source (3) is not in this starting position.
18. An apparatus according to any one of claims 16-17, characterized in that, between the at least one plasma source (3) and the process chamber (1), a flexible, substantially gastight sealing is provided.

19. An apparatus according to any one of claims 16-18, characterized in that the apparatus is provided with a first housing part (16) and a second housing part (17), wherein the at least one plasma source is provided on the first housing part (16), wherein the first housing part (16) is coupled to the second housing part (17) in a substantially gastight and movable manner, in particular by a thin-walled stainless-steel bellows (11).

20. An apparatus according to any one of claims 16-19, characterized in that the apparatus is provided with at least one motor (12, 13) for the purpose of moving the at least one plasma source (3).

21. An apparatus according to any one of claims 16-20, characterized in that the at least one plasma source (3) is arranged so as to be rotatable about at least one first (14) rotation axis and one second rotation axis (15), wherein the first and second rotation axis (14 and 15 respectively) each extend substantially parallel to the substrate surface and in a different direction.

22. An apparatus according to any one of claims 16-21, characterized in that the process chamber (1) is provided with at least one treatment fluid supply source (9) to add a treatment fluid to the plasma, in particular for the purpose of PECVD.

23. An apparatus according to claim 22, characterized in that the at least one treatment fluid supply source (9) is arranged to add treatment fluid to the plasma extending via each relatively narrow cascade source channel (7) into the process chamber (1).

24. An apparatus according to claim 22 or 23, characterized in that the at least one treatment fluid supply source (9) is provided with at least one plasma passage through which the plasma extends during use.

25. An apparatus according to any one of claims 22-24, characterized in that the at least one treatment fluid supply source (9) is movably arranged in the process chamber (1).

26. An apparatus according to claim 25, characterized in that the at least one treatment fluid supply source (9) is coupled to the at least one plasma source, such that the movement of the at least one treatment fluid supply source (9) is related to the movement of the at least one plasma source (3).
- 5 27. An apparatus according to any one of claims 16-26, wherein said plasma source is mounted on the process chamber.
28. An apparatus according to any one of claims 16-27, wherein said plasma source and/or at least said treatment fluid supply source are arranged to carry out one or more three-dimensional movements.
- 10 29. An apparatus according to any one of claims 16-28, wherein the apparatus is at least arranged to carry out PECVD.
30. A substrate provided with a surface with at least one layer of material deposited on it, characterized in that the layer has been deposited using a method according to any one of claims 1-15 and/or using an
- 15 apparatus according to any one of claims 16-29.

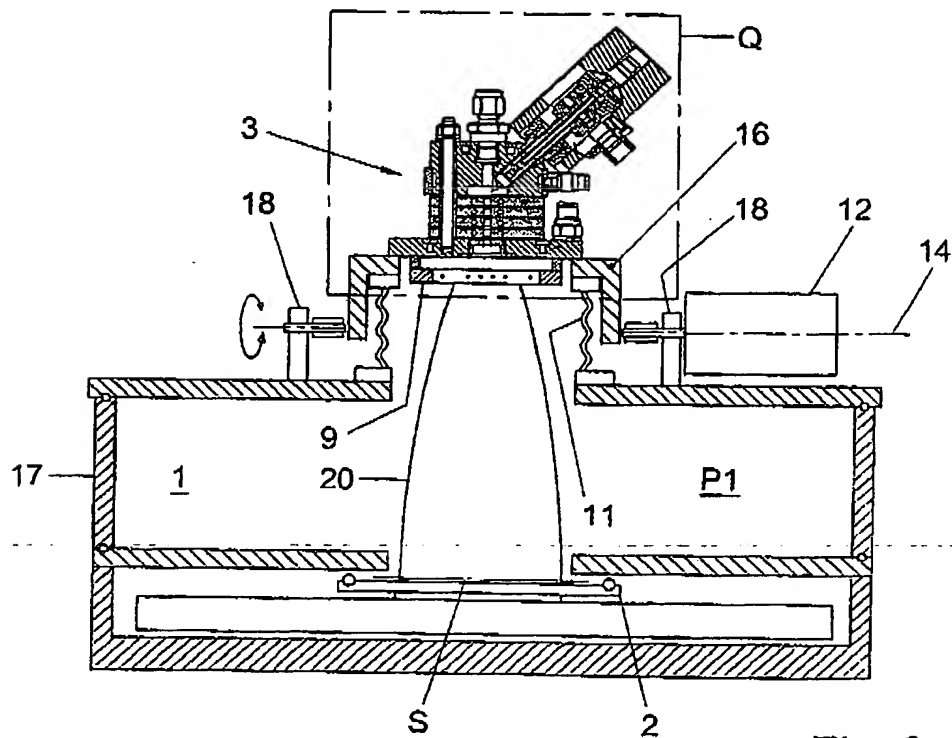
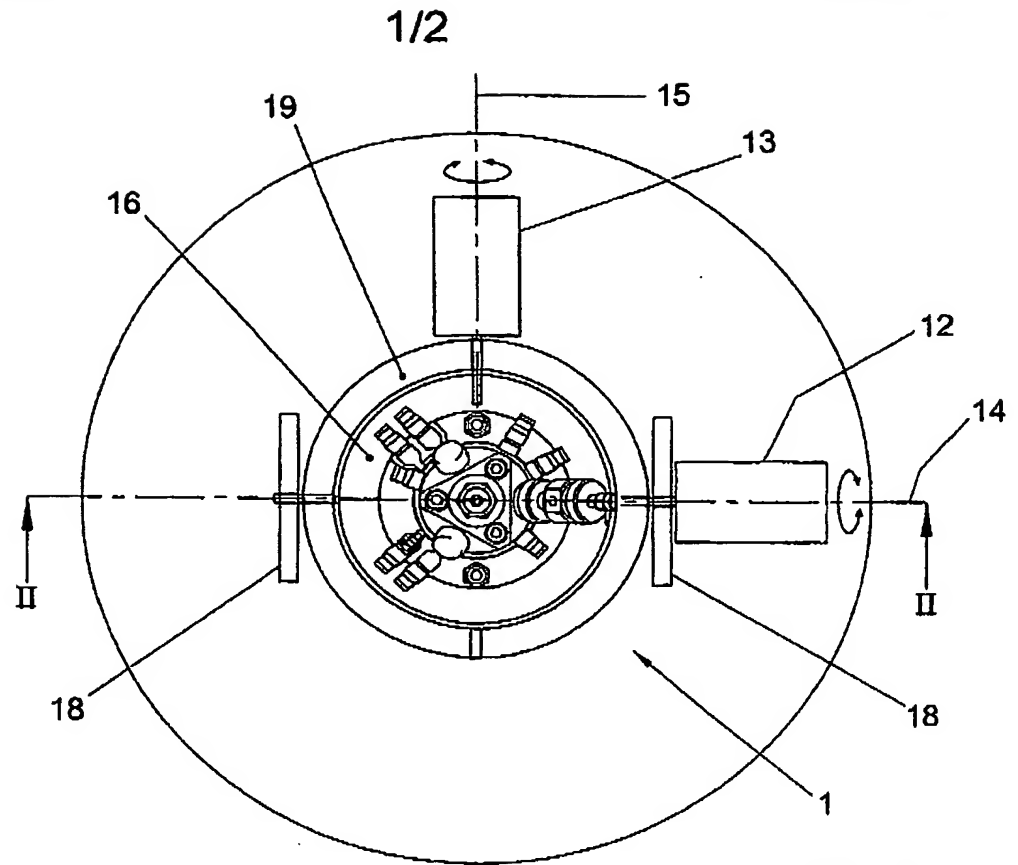
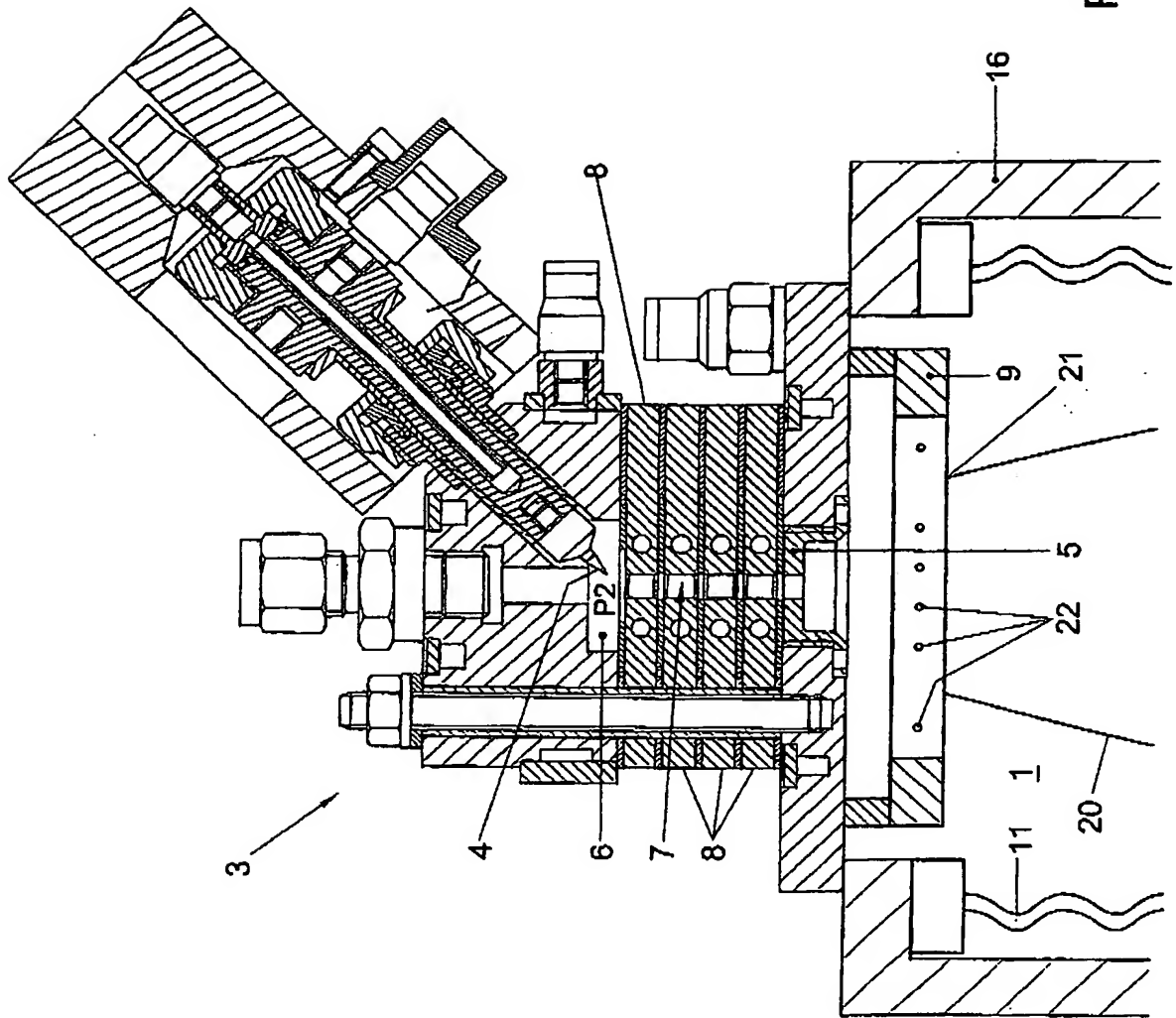


Fig. 3



PTO 10 JUN 2015 INTERNATIONAL SEARCH REPORT

Inter-Application No
PCT/NL 03/00886

A. CLASSIFICATION OF SUBJECT MATTER

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According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 C23C H01J

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, PAJ

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 403 399 A (KAWARADA MOTONOBU ET AL) 4 April 1995 (1995-04-04)	1-6, 10-12, 15, 16, 21-23, 25-27, 29-31
Y	column 11, line 12 - line 22; figure 24 column 20, line 5 - line 61 -/-	8, 9, 14, 17

☒ Further documents are listed in the continuation of box C.

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International Application No
PCT/NL 03/00886

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